

PlaNYC as a change management effort

Rohit T. Aggarwala March 27, 2017

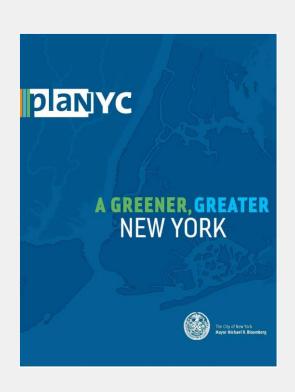
Concerted effort began with PlaNYC in 2006 under Mayor Bloomberg . . .

| Pre-2006 | Columbia study on impacts of climate change on NYC Dept of Environmental Protection begins evaluation of sea level impact on water infrastructure |
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| 2005 | Michael R. Bloomberg elected to second term as mayor US Census Bureau estimates NYC population has grown by 250k since 2000 |
| 2006 | Commitment to understand a long-term strategic plan Office of Long-Term Planning and Sustainability formed |
| 2007 | PlaNYC launched |
| 2008 | Congestion pricing defeated by New York State Assembly |
| 2009 | Greener, greater buildings plan launched and enacted; Times Square pedestrianized; Bloomberg re-elected to third term; Copenhagen COP |

... and has continued under Mayor Bill de Blasio

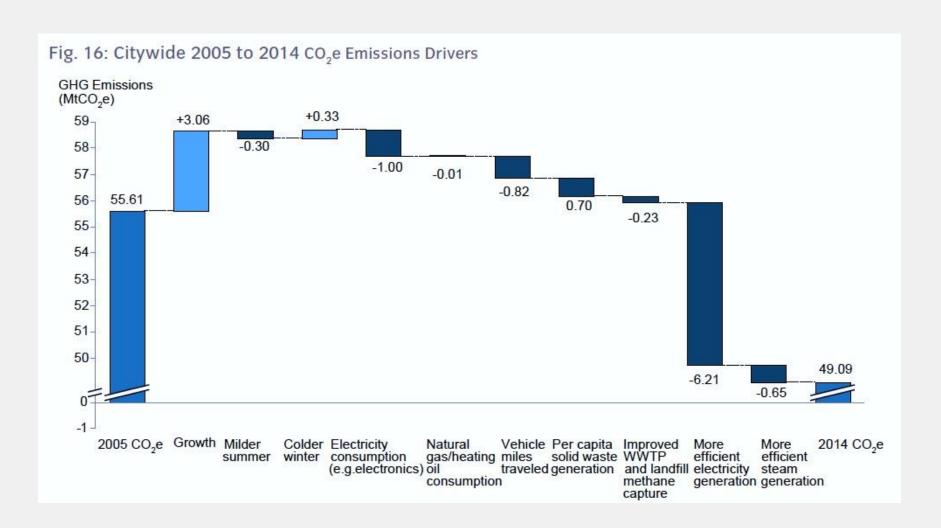
| 2010 | Hybrid taxi mandate overturned by US Court of Appeals; cap-and- trade effort dies in US Congress; Bloomberg elected chair of C40 Cities |
|------|---|
| 2011 | Heavy heating oil phase-out enacted; PlaNYC updated; Astoria II plant opens |
| 2012 | Recycling expanded in NYC; DEP adopts sustainable stormwater strategy; Hurricane Sandy devastates NYC and region |
| 2013 | SIRR (climate resilience report); Citibike launched; Bill de Blasio elected mayor |
| 2014 | Advocates chide de Blasio for inaction on climate or sustainability appointments; makes 80 by 50 commitment at UN Climate Week |
| 2015 | OneNYC plan issued as successor to PlaNYC |
| 2016 | City expands benchmarking and submetering mandates to smaller buildings |

PlaNYC was a broad strategy that addressed more than climate change



- 1. Create enough housing for our growing population
- 2. Ensure all New Yorkers have parks within a 10-minute walk
- 3. Clean up all contaminated land in New York City
- 4. Develop water network back-up systems
- 5. Open 90% of our waterways and protect natural areas
- 6. Improve travel times by adding transit capacity for millions
- 7. Achieve "State Of Good Repair" on our transportation system
- 8. Upgrade our energy infrastructure to provide clean energy
- 9. Achieve the cleanest air of any big city in America
- 10. Reduce global warming emissions by 30%

But its GHG impact has been real



Source: City of New York, Inventory of New York City's Greenhouse Gas Emissions for 2014 (2016), p. 25

What were the keys of PlaNYC's success?

- Mayoral investment from the right mayor
- A well-resourced, empowered team
- Smart policies adopted for the right reasons
- Good timing
- A change management approach

Leadership

Good policy

Luck

Process

What are the components of a change management approach?

Policy

- Clear objectives
- Accurate diagnosis of situation
- Actions designed for impact
- Political courage

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Implementation strategy

Short term

- 1. Implementation and management resources
- 2. Stakeholder engagement

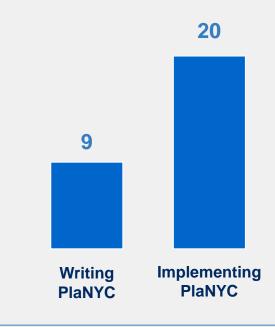
Long term

- 3. Information: creating the inputs for future decisions
- 4. Institutionalization: aligning interests to shape future decisions
- 5. Flexibility: building in the potential for change

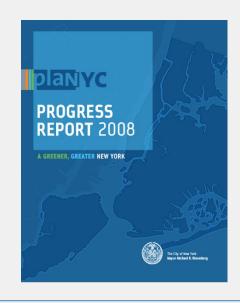


Implementation and management resources

It took far more headcount to manage the plan's implementation than to write it.



Critical tool was the commitment to report on the progress on each initiative in the plan, each year.





Stakeholder engagement



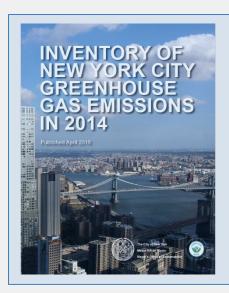
From Sustainability Advisory Board . . .

... to the Campaign for New York's Future

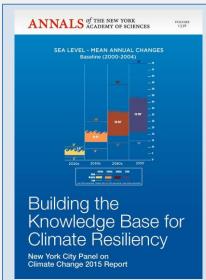




Information: creating the inputs for future decisions



Annual greenhouse gas inventory, begun in 2006 and done in-house, gave deep insight into performance of various sources of GHGs as well as transparency for outsiders to call for changes



New York Panel on Climate Change, launched in 2009, provides official projections on how climate change will affect NYC, so that city can refer to up-to-date "official" numbers



Institutionalization: aligning interests to shape future decisions

Agencies

- Agencies notoriously resistant to ongoing directives from City Hall
- Need to create internal advocates, ideally a deputy or assistant commissioner of sustainability
- This creates "native" constituency for sustainability agenda and makes it possible for ideas to be originated internally
- DCAS, DEP, Parks

Stakeholders

- "Heads down" period of internal implementation is the easiest point in which to lose supporters; after intensive involvement in plan development, they want to be involved
- If no major political battles to be fought, need to create useful roles where external advice can be valuable: peer review of data development, validating progress reports, etc., may all work



Flexibility: building in the potential for change





- Sustainability planning institutionalized by law, but intentionally including the flexibility for each mayor to redefine
- Update to sustainability plan required every four years, 16 months after start of mayoral term
- Law does not specify objectives or outcomes, but rather prompts a plan that each mayor can tailor to suit